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Attorneys for Defendants
UBER TECHNOLOGIES, INC.
and OTTOMOTTO LLC

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

WAYMO LLC,

Plaintiff,

v.

UBER TECHNOLOGIES, INC.,
OTTOMOTTO LLC; OTTO TRUCKING LLC,

Defendants.

Case No. 3:17-cv-00939-WHA

**DEFENDANTS UBER
TECHNOLOGIES, INC. AND
OTTOMOTTO LLC'S
ADMINISTRATIVE MOTION TO
FILE UNDER SEAL THEIR
RESPONSE TO WAYMO'S TRIAL
BRIEF**

Pursuant to Civil Local Rules 7-11 and 79-5, Defendants Uber Technologies, Inc. and Ottomotto LLC (“Defendants”) submit this motion for an order to file under seal their Response to Waymo’s Trial Brief (“Response”). Specifically, Defendants request an order granting leave to file under seal Exhibit 5 to the Declaration of Esther Chang, based on Waymo LLC’s prior representations regarding the confidentiality of similar information. Defendants do not believe the highlighted portions of the Response merit sealing.

Document	Portions to Be Filed Under Seal	Designating Party
Response to Waymo’s Trial Brief (“Response”)	Highlighted Portions	Plaintiff
Exhibit 5	Entirety	Plaintiff Defendants (Red Boxes)

The highlighted portions of the Response contain short, public descriptions of the subject matter of the alleged trade secrets and thus do not merit sealing. Defendants file this material under seal out of an abundance of caution in order to provide Waymo the opportunity to justify sealing of this material to the Court. (Yang Decl. ¶ 3.)

The red boxes of Exhibit 5 contain screenshots of highly confidential CAD design files of Uber’s LiDAR. This information is not publicly known, and its confidentiality is strictly maintained. I understand that disclosure of this information could allow competitors to gain insight into the details of Uber’s LiDAR design, allowing them to tailor their own technical development to the detriment of Uber. (Yang Decl. ¶ 5.)

The entirety of Exhibit 5 contains information that has been designated “Highly Confidential –Attorneys’ Eyes Only” or “Confidential” by Waymo in accordance with the Patent Local Rule 2-2 Interim Model Protective Order (“Protective Order”), which the parties have agreed governs this case (Transcript of 3/16/2017 Hearing, page 6). Defendants file all this material under seal in accordance with Paragraph 14.4 of the Protective Order. (Yang Decl. ¶ 5.)

Defendants’ request to seal is narrowly tailored to the portions of their Response to Waymo’s Trial Brief and supporting exhibits that merit sealing. (Yang Decl. ¶ 6.)

1 Pursuant to Civil Local Rule 79-5(d)(2), Defendants will lodge with the Clerk the
2 documents at issue, with accompanying chamber copies.

3 Defendants served Waymo with this Administrative Motion to File Documents Under
4 Seal on January 29, 2018.

5 For the foregoing reasons, Defendants request that the Court enter the accompanying
6 Proposed Order granting Defendants' Administrative Motion to File Documents Under Seal and
7 designate the service copies of these documents as "HIGHLY CONFIDENTIAL –
8 ATTORNEYS' EYES ONLY."

9
10 Dated: January 29, 2018

MORRISON & FOERSTER LLP

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12 By: /s/ Arturo J. González
Arturo J. González

13 Attorneys for Defendants
14 UBER TECHNOLOGIES, INC. and
15 OTTOMOTTO LLC
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SAN FRANCISCO DIVISION

WAYMO LLC,

Plaintiff,

v.

UBER TECHNOLOGIES, INC.,
OTTOMOTTO LLC; OTTO TRUCKING LLC,

Defendants.

Case No. 3:17-cv-00939-WHA

**DECLARATION OF MICHELLE
YANG IN SUPPORT OF
DEFENDANTS UBER
TECHNOLOGIES, INC. AND
OTTOMOTTO LLC'S
ADMINISTRATIVE MOTION TO
FILE UNDER SEAL THEIR
RESPONSE TO WAYMO'S TRIAL
BRIEF**

1 I, Michelle Yang, declare as follows:

2 1. I am an attorney at the law firm of Morrison & Foerster LLP. I make this
3 declaration based upon matters within my own personal knowledge and if called as a witness,
4 I could and would competently testify to the matters set forth herein. I make this declaration in
5 support of Defendants' Administrative Motion to File Under Seal Their Response to Waymo's
6 Trial Brief.

7 2. Defendants request an order granting leave to file under seal Exhibit 5 to the
8 Declaration of Esther Chang, based on Waymo LLC's prior representations regarding the
9 confidentiality of similar information. Defendants do not believe the highlighted portions of the
10 Response merit sealing.

Document	Portions to Be Filed Under Seal	Designating Party
Response to Waymo's Trial Brief ("Response")	Highlighted Portions	Plaintiff
Exhibit 5	Entirety	Plaintiff Defendants (Red Boxes)

16 3. The highlighted portions of the Response contain short, public descriptions of the
17 subject matter of the alleged trade secrets and thus do not merit sealing. Defendants file this
18 material under seal out of an abundance of caution in order to provide Waymo the opportunity to
19 justify sealing of this material to the Court.

20 4. The red boxes of Exhibit 5 contain screenshots of highly confidential CAD design
21 files of Uber's LiDAR. This information is not publicly known, and its confidentiality is strictly
22 maintained. I understand that disclosure of this information could allow competitors to gain
23 insight into the details of Uber's LiDAR design, allowing them to tailor their own technical
24 development to the detriment of Uber.

25 5. The entirety of Exhibit 5 contains information that has been designated "Highly
26 Confidential –Attorneys' Eyes Only" or "Confidential" by Waymo in accordance with the Patent
27 Local Rule 2-2 Interim Model Protective Order ("Protective Order"), which the parties have
28

1 agreed governs this case (Transcript of 3/16/2017 Hearing, page 6). Defendants file all this
2 material under seal in accordance with Paragraph 14.4 of the Protective Order.

3 6. Defendants' request to seal is narrowly tailored to the portions of their Response to
4 Waymo's Trial Brief and supporting exhibits that merit sealing.

5 I declare under penalty of perjury that the foregoing is true and correct. Executed this
6 29th day of January, 2018 at San Francisco, California.

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8
9 /s/ Michelle Yang

Michelle Yang

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14 **ATTESTATION OF E-FILED SIGNATURE**

15 I, Arturo J. Gonzalez, am the ECF User whose ID and password are being used to file this
16 Declaration. In compliance with Civil L.R. 5-1(i)(3), I hereby attest that Michelle Yang has
17 concurred in this filing.

18 Dated: January 29, 2018

19 /s/ Arturo J. González

ARTURO J. GONZÁLEZ

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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

WAYMO LLC,

Plaintiff,

v.

UBER TECHNOLOGIES, INC.,
OTTOMOTTO LLC; OTTO TRUCKING LLC,

Defendants.

Case No. 3:17-cv-00939-WHA

**[PROPOSED] ORDER GRANTING
DEFENDANTS UBER
TECHNOLOGIES, INC. AND
OTTOMOTTO LLC'S
ADMINISTRATIVE MOTION TO
FILE DOCUMENTS UNDER SEAL**

1 Upon consideration of Defendants Uber Technologies, Inc. and Ottomotto LLC's
2 ("Defendants") Administrative Motion to File Documents Under Seal, and finding that good
3 cause exists, this Court hereby GRANTS Defendants' Administrative Motion to File Documents
4 Under Seal and ORDERS that the following documents shall be sealed, as indicated below:

Document	Portions to Be Filed Under Seal
Exhibit 5	Entirety

8 **IT IS SO ORDERED.**

9
10 Dated: _____, 2017

11 _____
12 HONORABLE WILLIAM ALSUP
13 United States District Judge
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15 Attorneys for Defendants
16 UBER TECHNOLOGIES, INC.
and OTTOMOTTO LLC

17 UNITED STATES DISTRICT COURT
18 NORTHERN DISTRICT OF CALIFORNIA
19 SAN FRANCISCO DIVISION

20 WAYMO LLC,
21 Plaintiff,
22 v.
23 UBER TECHNOLOGIES, INC.,
24 OTTOMOTTO LLC; OTTO TRUCKING
LLC,
25 Defendants.

Case No. 3:17-cv-00939-WHA

**DEFENDANTS UBER
TECHNOLOGIES, INC. AND
OTTOMOTTO LLC'S RESPONSE TO
WAYMO'S TRIAL BRIEF**

Trial Date: February 5, 2018

26
27 **REDACTED VERSION OF DOCUMENT SOUGHT TO BE SEALED**
28

Defendants Uber Technologies, Inc. and Ottomotto LLC (collectively, “Uber”) respond to Waymo’s untimely trial brief. (Dkt. 2538-4.) Although it purported to be a response to Defendants’ trial brief, Waymo’s brief raises new issues that should have been raised on January 23, 2018, as required by the Court’s standing order.

A. WAYMO’S ISSUES

1. The Court Should Deny Waymo’s Request to Reconsider the Court’s Ground Rules with Respect to Levandowski’s Invocation of Fifth Amendment Rights

Waymo’s trial brief asks the Court to reconsider and reverse two of its ground rules related to Anthony Levandowski’s testimony, specifically (1) the timing of when Levandowski will be questioned by Uber (if he testifies at all) and (2) whether the Fifth Amendment may be referenced during opening statements or before Levandowski testifies (if he is allowed to testify). (See Dkt. 1535 ¶¶ 1, 3.) Neither request is valid—the Court’s ground rules are consistent with the governing law and Rules of Evidence, and should remain in place.

a. The Court Should Overrule Waymo’s Objection to Ground Rule Number Three, Which Provides That Uber May Examine Levandowski at the End of Waymo’s Case-in-Chief

As Waymo explicitly acknowledges, “the Court has overruled Waymo’s objection” to requiring Levandowski to appear “very close to the end of” Waymo’s case-in-chief. (Dkt. 2538-4 (Waymo Trial Br. at 3 (citing Dkt. 1863 [9/27/17 Hr’g Tr.] at 142:16-150:17)); Dkt. 1535 ¶ 2.) Now, Waymo has raised a brand new complaint about the Court’s third ground rule, which expressly gives Defendants the option to “either ask their questions based on the corroborating evidence already included in the trial record before the jury at the end of Waymo’s case-in-chief, or to postpone their examination of Levandowski and require him to return at the end of defendants’ case-in-chief for further questioning.” Waymo chose not object to this ground rule as part of its objections submitted in September. (Dkt. 1811 (Waymo’s objections to Fifth Amendment ground rules) (objecting to Paragraphs 1, 2, and 4 of the ground rules, but not objecting to Paragraph 3).) Waymo’s trial brief submission, however, seeks to undo this ground rule by mandating that Uber wait until its own case-in-chief to ask its questions of Levandowski.

Waymo’s trial brief provides no valid justification for reconsideration of the Court’s

1 ground rule on this issue. The sole “objection” that Waymo raises is that Uber should not be
2 permitted to go beyond the “subject matter of the direct examination” and “matters of the
3 witness’s credibility.” But as Waymo acknowledges, “Federal Rule of Evidence 611(b) commits
4 the scope of cross-examination to the trial judge’s discretion,” and may “permit cross-
5 examination ‘as to all matters reasonably related to the issues’ that were “put in dispute by his
6 testimony on direct.”” *United States v. Vasquez*, 858 F.2d 1387, 1392 (9th Cir. 1988) (citations
7 omitted). Furthermore, the Court has discretion under Rule 611(b) to “allow inquiry into
8 additional matters as if on direct examination.” This discretion is to be exercised where it will
9 “aid in the development of the evidence or otherwise facilitate the conduct of the trial.” Notes of
10 Committee on the Judiciary, S. Rep. No. 93-1277, at 7071 (1974). In the unique circumstance of
11 a witness invoking the Fifth Amendment, the Court’s proposed ground rule number three, which
12 allows Uber to examine Levandowski during Waymo’s case-in-chief, is a proper exercise of the
13 Court’s discretion.

14 In deciding whether Levandowski may be called to the stand even one time, the Court
15 must balance whether the probative value of the witness’s invocation of the Fifth Amendment is
16 substantially outweighed by the danger of unfair prejudice and jury confusion from admission of
17 such evidence. *In re Urethane Antitrust Litigation*, No. 04-1616-JWL, 2013 WL 100250, at *4
18 (D. Kan. Jan. 8, 2013). To reverse the ground rule already set by the Court and mandate that
19 Levandowski be called to the stand a second time in order to answer questions put to him by Uber
20 would exacerbate the risk of unfair prejudice and confusion.

21 The scope of Waymo’s direct examination will be defined by Levandowski’s (presumed)
22 invocation of the Fifth Amendment. Any questions that Uber asks on cross-examination which
23 also elicit Fifth Amendment invocations necessarily are related to that same “subject matter,”
24 Fed. R. Evid. 611(b), because Uber’s questions will (a) undermine the validity of the inferences
25 Waymo is seeking by demonstrating the lack of probative value of the invocation; (b) support the
26 competing inferences that Uber will argue are more appropriately drawn from Levandowski’s
27 invocation; or (c) do both of those things. In all of those circumstances, Uber’s questioning is
28 related to the subject matter Waymo will have covered in its examination, and permitting Uber to

ask the questions at that time will certainly “facilitate the conduct of the trial.” The Court thus properly exercised its discretion under Rule 611(b) in establishing its third ground rule and there is no basis for its reversal.

b. The Court Should Adhere to Its Proposed Ground Rule Forbidding References to the Fifth Amendment During Opening Statement

Waymo’s trial brief also renews its objection to the Court’s ground rule prohibiting reference to potential Fifth Amendment invocations during opening statements. (Dkt. 1535 ¶ 1.) The proposed ground rule was proper in September and remains so now.

If Waymo were permitted to bring up the Fifth Amendment during their opening—or at any point in trial prior to when Levandowski is called to the stand (if he is called at all)—it would undoubtedly argue that the jury should infer from Levandowski’s Fifth Amendment invocation that *Uber* has done something wrong, and it would do so without any corroborating evidence in the record or any appropriately tailored examination. The jury will have no context or understanding of the Fifth Amendment at that point; Waymo’s blanket statement is an obvious attempt to poison the jury against Uber from the outset without the appropriate evidentiary safeguards. This is exactly the sort of blind inference that the Ninth Circuit has strictly forbidden. *Doe ex rel. Rudy-Glanzer v. Glanzer*, 232 F.3d 1258, 1264 (9th Cir. 2000) (corroborating “independent evidence” must exist before any inference can be drawn).

Waymo’s argument that the parties may ask about the Fifth Amendment in *voir dire*, its primary argument in September and repeated in its trial brief, is now moot. (Dkt. 2538-4 (Waymo’s Trial Br. at 3); Dkt. 1863 (9/27/17 Hr’g Tr.) at 150:10–13.) Uber has advised Waymo’s counsel that it would not be referencing the Fifth Amendment during *voir dire*. (Ex. 1¹ (1/27/18 Email from A. Gonzalez) (“We will not be raising this [Fifth Amendment] issue in voir dire.”). In light of this concession, Waymo should not be permitted to reference Levandowski’s invocation in opening, or at any point prior to the time Levandowski is actually called (if at all). Nor do the cases Waymo cites in support of its position—*Cerro Gordo Charity v. Fireman’s*

¹ All references to (“Ex.”) are exhibits attached to the Declaration of Esther Kim Chang in Support of Defendants’ Response to Waymo’s Trial Brief.

1 *Fund Am. Life Ins. Co.*, 819 F.2d 1471, 1481 (8th Cir. 1987) and *Pac. Gas & Elec. Co. v. Howard*
 2 *P. Foley Co.*, No. 85-2922-SW, 1993 WL 299219, at *10 (N.D. Cal. July 27, 1993)—require the
 3 Court to allow Waymo to poison the jury with blanket references to the Fifth Amendment.
 4 Neither case says *anything at all* about opening statement.

5 Finally, Waymo’s meek claim of prejudice should again be rejected. (Dkt. 2538-4
 6 (Waymo’s Trial Br. at 2).) When Waymo sought reversal of this ground rule in September, the
 7 Court clearly disagreed, saying: “I don’t think you’re very prejudiced.” (Dkt. 1863 (9/27/17 Hr’g
 8 Tr.) at 146:13–14.) Even if there were some potential “complication” of Waymo’s opening
 9 argument by virtue of the ground rule, there is still no basis for discarding it. The Ninth Circuit
 10 has cautioned that the “competing interests” of the parties where the privilege is concerned must
 11 be “carefully balanced.” *Doe ex rel. Rudy-Glanzer*, 232 F.3d at 1265. In this case, that balancing
 12 requires the Court’s thoughtful vetting of every question to be put to Levandowski in order to
 13 prevent the jury from assigning his testimony undue weight or being improperly prejudiced
 14 against Uber from the outset, as the Court’s ground rules required. (Dkt. 1535.)

15 **2. The List of Trade Secrets Provided to the Jury Should Indicate That They**
 16 **Are Alleged Trade Secrets and Include a Short, Public Description**

17 Uber agrees in principle to Waymo’s proposal to provide the jury with binders that
 18 contain a list of the alleged trade secrets, identifying each alleged trade secret by number and
 19 including the text of the claimed trade secret. (See Dkt. 25-7.) But Uber requests that the word
 20 “Alleged” be added before each trade secret number (*e.g.*, Alleged Trade Secret No. 2) and that a
 21 short, public description be included for each trade secret. Below is Uber’s proposal for the short,
 22 public description for each trade secret.

Alleged Trade Secret No.	Short Description
ATS 2	
ATS 7	
ATS 9	
ATS 13	
ATS 14	
ATS 25	
ATS 90	
ATS 111	

1 **3. The Court Should Allow Uber's Witnesses to Testify Truthfully to the**
 2 **Current Status of Fuji's Redesign**

3 Waymo's request that the Court bar Uber's witnesses from testifying truthfully about the
 4 completion of Uber's Fuji redesign represents an attempt to revisit a motion *in limine* that Waymo
 5 *dropped* at the September pre-trial conference. As the Court may recall, Waymo's MIL 17
 6 sought to preclude Uber's evidence of its Fuji redesign in part on the grounds that it was not
 7 timely disclosed. (*See* Dkt. 1558 at 2 (Waymo MIL 17).) Uber demonstrated that, to the
 8 contrary, Uber had timely disclosed the relevant evidence in deposition, documents (including
 9 CAD files showing the new designs), and interrogatory responses. (*See* Dkt. 1558-8 at 1-2 (Uber
 10 Opp'n); Dkt. 1558-3 at 31-35 (supplemental interrogatory responses citing redesign documents).)
 11 Waymo informed the Court it was dropping the MIL, and the Court embodied that resolution in
 12 its order on the MILs. (Dkt. 1863, Tr. Hr'g at 151:3-7 (withdrawing MIL 17); Dkt. 1885, Order
 13 at 3.)

14 Uber's disclosures before the close of fact discovery made clear that certain Fuji redesigns
 15 were underway, and provided the details of those redesigns (including CADs). Waymo does not
 16 contest that the previously-disclosed information about the redesigns can be presented at trial.
 17 The only issue is whether Uber's witnesses (particularly lead Fuji engineer James Haslim) can
 18 truthfully testify that those already disclosed redesigns have been implemented in hardware, and
 19 that the current prototypes of Fuji embody the previously-disclosed redesigns that avoid ATS 2,
 20 7, 13, and 14.

21 Since MIL 17's resolution, Waymo has not raised questions about the Fuji redesigns or
 22 sought any further discovery. To avoid any claim of sandbagging, Uber notified Waymo of
 23 Mr. Haslim's intended testimony and offered to make him available for deposition. (Ex. 2
 24 (1/15/18 Email from D. Muino); Ex. 3 at 2-3 (1/18/18 Email from D. Muino).) At Waymo's
 25 insistence, Uber also updated its document production. (Ex. 4 at 1 (1/22/18 Email from
 26 D. Muino).) But Waymo has apparently decided that the better course is to try to hold Mr. Haslim
 27 to a chronological straightjacket and even to testify untruthfully based on facts that are no longer
 28 current. That makes no sense.

1 The better course is to allow updated and truthful testimony anchored by the disclosures
 2 made before the close of fact discovery. Uber does not intend to rely on any new documents—
 3 only those produced as of the fact discovery cut-off on August 24. Uber is still willing to make
 4 Mr. Haslim available for deposition, and that should be sufficient. *See Finjan, Inc. v. Blue Coat*
 5 *Sys.*, No. 13-cv-03999-BLF, 2015 WL 4129193, at *3 (N.D. Cal. July 8, 2015) (finding that “any
 6 prejudice from Defendant’s disclosure [of a design around after fact discovery cutoff] is better
 7 addressed through discovery” and ordering a 3-hour deposition between the pretrial conference
 8 and start of trial).

9 As was true when Waymo earlier sought exclusion with its MIL 17, Uber’s detailed and
 10 extensive disclosures of its redesigns before the discovery cut-off refute Waymo’s current
 11 complaints. Uber responded to Waymo’s interrogatory regarding redesign efforts with
 12 descriptions of the specific redesigns it was implementing and estimates of the time and costs to
 13 modify its design on July 28, 2017. (*See* Dkt. 1558-9 at 3-11; Dkt. 1558-8, Uber Opp’n to
 14 MIL 17 at 1-2 (quoting descriptions).) Waymo deposed Mr. Haslim about each of the
 15 contemplated redesigns on August 9. (*See e.g.*, Dkt. 1558-6, 8/9/17 Haslim Dep. at 425:6-434:7,
 16 702:11-703:20 (Alleged Trade Secret (“ATS”) 2 redesign), 662:3-670:17 (ATS 7 redesign);
 17 434:9-443:24 (ATS 9 redesign), 703:21-706:19 (ATS 13/14 redesign).) Uber supplemented its
 18 interrogatory responses and disclosed documents pertaining to the redesigns, including CAD
 19 design files and the identity and statement of work (SOW) for the third-party vendor retained for
 20 the ATS 9 redesign, on August 24, 2017. (*See* Dkt. 1558-3 at 31 (TS 2), 32 (TS 7), 33-34 (TS 9),
 21 34-35 (TS 13/TS 14).) These interrogatory responses also reported on the status of the redesigns
 22 as of that date. (*See id.* at 41-42 (“Receipt of newly designed PCBs” completed for ATS 7
 23 redesign, “CAD drawing” completed for ATS 2 and ATS 13/ATS 14 redesign; “SOW sent to
 24 vendor” for ATS 9 redesign).) In particular, the responses state the expected completion date for
 25 the redesigns: “[r]eplacement of all old PCBs” in about “one month” for ATS 7 redesign;
 26 “[a]nticipated receipt of prototype PCBs” in 22 working days, with 7 working days of testing for
 27 ATS 2, ATS 13, and ATS 14 redesign; and “anticipated receipt of prototype lenses” in 22 weeks,
 28 with 3 weeks of testing for ATS 9 redesign. (*Id.*)

1 Because Uber disclosed its CAD design files, vendor statement of work, and time and cost
2 estimates by August 24, little additional redesign evidence will be presented to the jury. Both
3 Waymo and Uber's experts addressed the redesigns. (*See e.g.*, Dkt. 2238-5 at 178-179 (Waymo
4 expert on Uber redesigns); Ex. 5 (Hesselink Rply Rpt. at 30-31, 38-39, 50-51, 58-60, 68-70).) At
5 trial, Mr. Haslim would testify that operational Fuji prototypes now implement the ATS 7,
6 ATS 2, ATS 13, and ATS 14 redesigns and that the ATS 9 redesign is expected to become
7 available soon. He would add that the redesigns have been implemented consistent with the
8 original estimates that were disclosed to Waymo before the close of fact discovery. He would
9 also present a redesigned Fuji prototype. The benefit to the jurors of seeing the physical features
10 instead of merely drawings outweighs any limited prejudice to Waymo. *See Datatransury Corp.*
11 *v. Wells Fargo & Co.*, No. 2:06-CV-72-DF, 2011 WL 8810604, at *12 (E.D. Tex. Aug. 2, 2011)
12 (denying motion to strike supplemental declaration on implementation of non-infringing
13 alternative, where costs and technical feasibility were already addressed in original declaration
14 and declarant's deposition, and "the significance of [declarant's] supplementation, as well as its
15 limited potential prejudice, if any, are insufficient to warrant striking it").

16 Waymo complains that it had no opportunity to subpoena and depose the third-party
17 vendor for the ATS 9 redesign. (Dkt. 2539, Waymo Resp. at 6.) But Waymo never previously
18 sought further discovery from Uber or the identified third-party vendor when this was disclosed
19 to Waymo on August 24. Waymo also did not engage in any discovery regarding the disclosed
20 estimated implementation dates. Uber does not object to any additional discovery from the third
21 party vendor, and even offered to facilitate that discovery. (Ex. 4 at 1 (1/22/18 Email from D.
22 Muino.) If Waymo decides that it cannot complete the discovery now, that is Waymo's fault, not
23 Uber's.

24 Finally, Waymo is struggling to define its damages in light of this Court's rulings, but its
25 most recent disclosures suggest it intends to seek more than \$1 billion from Uber. Assuming
26 Waymo prevails on its "use" arguments for the old Fuji designs, it would be a bizarre outcome for
27 Waymo to collect such astronomical sums for alleged trade secrets that Uber not only plans not to
28 use in the future, but has already stopped using. It would be unfair to deny Uber the opportunity

1 to defend itself against such claims, and the Court should allow Uber to introduce evidence of tis
2 discontinued use of those alleged secrets.

3 **B. UBER’S ISSUES**

4 **1. The Court’s Standing Order Regarding Disclosure of Exhibits Is in Effect** 5 **and Applies to Exhibits for Direct Examination of Adverse Witnesses**

6 Waymo's trial brief proceeds from a flawed conclusion regarding the disclosure of
7 exhibits during trial. Waymo claims that Uber agreed to exchange exhibits by 5:00 p.m. the day
8 before a witness testifies. (Dkt. 2538-4 at 8 n.2.) That is not accurate. Uber noted that it would
9 agree to 5:00 as a compromise, but only if Waymo agreed to disclose exhibits pertaining to
10 adverse witnesses. (Dkt 2254-1 at 4 (“*If you agree* about adverse witnesses, then we agree to
11 your proposal that exhibits will be disclosed by 5:00 the day before they are used.”) (emphasis
12 added).) Waymo did not agree to that condition. Thus, there was no agreement regarding the
13 disclosure of exhibits. Lest there be any doubt, Uber has not agreed and does not agree to any
14 deviation from the Court’s standing order regarding exhibit disclosures—whether for direct or
15 cross examination. (See Judge Alsup’s Guidelines for Trial & Pretrial Conference in Civil Jury
16 Cases (rev. May 8, 2017) (Judge Alsup’s Guidelines”) ¶ 11.) Direct exhibits must be disclosed
17 one day or two days before a witness testifies, depending upon whether the discloser wishes to
18 see the other party’s cross exhibits. (*Id.*) Waymo, as the Plaintiff, has over-designated thousands
19 of exhibits, and the only way to avoid sandbagging is to stick to this Court’s Trial Guidelines.

20 Because there is no agreement about cross-examination exhibits, there is no “distinction
21 without a difference” (Dkt. 2538-4 at 7) between Uber’s treatment of exhibits for adverse
22 witnesses and cross-examination exhibits. Under the Court’s standing order, both must be
23 disclosed (in the case of cross-examination exhibits, only if the party examining the witness
24 discloses its direct examination exhibits two days in advance). Again, this Court’s standing order
25 contemplates that direct examination exhibits for adverse witnesses must be disclosed: it exempts
26 from that requirement exhibits to be used for impeachment. If the rule requiring disclosure of
27 direct examination exhibits were intended to apply only to friendly witnesses (and not adverse
28 witnesses), it would not have included an exception for impeachment because impeachment

1 applies only to adverse witnesses.

2 Moreover, if this Court wanted to exclude direct examination of adverse witnesses from
3 its exhibit disclosure requirement, it would have said so. Because there is no agreement between
4 the parties to deviate from the Court's standing order, that order controls. And under that order,
5 exhibits to be used in the direct examination of any witness (including adverse witnesses) must be
6 disclosed.

7 Given the many complex evidentiary issues that will arise during trial, Uber requests that
8 the parties comply with this Court's stated preference that direct examination exhibits be
9 disclosed two days before a witness testifies. Waymo has refused to narrow its list of trial
10 exhibits and should not be allowed to engage in hide-the-ball litigation tactics. The Court's Trial
11 Guidelines are fair and both sides should follow it.

12 **2. Waymo Concedes That It Did Not Plead "Use" or "Disclosure" by MoFo or**
13 **Stroz**

14 Waymo's response confirms that this issue should not go to the jury. By its silence,
15 Waymo concedes that it has never pled nor disclosed that it is pursuing a theory of trade secrets
16 misappropriation based on any "use" or "disclosure" by MoFo or Stroz. (*See* Dkt. 2538-4 at 8.)
17 Waymo also admits that it "does not intend to ask for damages based on MoFo or Stroz's use or
18 disclosure of Waymo's trade secrets." (*Id.*)

19 Nonetheless, Waymo claims that it intends to show that Uber "wrongfully acquired the
20 trade secrets at least when its agents MoFo and Stroz took possession of them." (*Id.*) Waymo
21 claims that such evidence would support "an award of injunctive relief." (*Id.*) But this argument
22 misses the boat—injunctive relief is equitable. Moreover, Waymo never disclosed that it was
23 pursuing this claim. And perhaps most importantly, it is undisputed that MoFo and Stroz have
24 Google materials. Without conceding liability, Uber would not oppose an injunction requiring
25 MoFo and Stroz to return or destroy the materials they received as part of the Ottomotto
26 acquisition due diligence after the end of this litigation, subject to any applicable preservation
27 obligations, and after notice and an opportunity to object is given to affected parties. Thus, there
28 is no need for this issue to be presented to the jury.

3. Waymo's Undisclosed Theory of "Disclosure" to Vendors

Having failed to timely disclose its new theory of liability based on Uber's alleged disclosure to its vendors, Waymo now attempts to shoehorn any disclosure relating to vendors as relevant to this new theory of liability. Waymo first points to its disclosure of William Grossman as evidence that it disclosed this theory of disclosure to vendors. But Waymo's own brief admits that Mr. Grossman was only disclosed as having "knowledge of 'matters that concern *Waymo's* accidental receipt of an email containing an *Otto* LiDAR PCB.'" (Dkt. 2538-4 at 9 (emphasis added).) The disclosure for Mr. Grossman relates to receipt of information by Waymo (not a vendor) and of Ottomotto's information (not Waymo's). The disclosure for Mr. Grossman fails to fulfill the intended notice function of disclosures and failed to put Uber on notice that Waymo may assert a theory of liability based on disclosure to vendors. In any event, to the extent that the disclosure for Mr. Grossman provided any notice for this theory, it was limited to disclosure by Ottomotto—not Uber.

Waymo also points to document requests asking for the identity of and communications with vendors as sufficient disclosure of its theory. Taken to its logical end, Waymo's position would mean that Waymo could assert any theory of liability with respect to any entity for which documents were requested. In this case, Waymo served almost 390 document requests, including requests directed at several named individuals (*e.g.*, Anthony Levandowski, Lior Ron, and Travis Kalanick), third-party entities (*e.g.*, Tyto, Odin Wave, Pouch Holdings), and groups of individuals (*e.g.*, former Waymo or Google employees). It cannot be that by doing so, Waymo has disclosed any conceivable theory of liability with respect to the subjects of the document requests.

Waymo also attempts to excuse its failure to disclose this theory in response to interrogatories calling for such disclosure by rewriting the interrogatory. (Dkt. 2538 at 9.) For example, Interrogatory No. 8 asks for "all instances in which the trade secret (or any Waymo LiDAR device utilizing the trade secret) was publicly or otherwise disclosed to third parties." (*Id.*) This interrogatory is not limited to Waymo's disclosure to third parties, but any disclosure of which Waymo was aware. By this interrogatory, Uber sought information regarding *any* disclosure of the alleged trade secrets—even by someone other than Waymo—because public

1 disclosure defeats trade secret status. Waymo now argues that the interrogatory was limited to
 2 “all instances in which the trade secret (or any Waymo LiDAR device utilizing the trade secret)
 3 was publicly or otherwise disclosed *by Waymo* to third parties.” (Dkt. 2538-4 at 9.) But there is
 4 no such limitation in the plain wording of the interrogatory. (Dkt. 2517 at 4; Dkt. 2252-5.)

5 In its most recent order regarding jury instructions, the Court ruled that “no recovery
 6 theory [would] go to the jury for damages based solely on misappropriation by acquisition only.”
 7 (Dkt. 2495 at 1.) This Court concluded that “Waymo failed to disclose an acquisition-only
 8 damages theory as required by FRCP 26(a) and [was thus] precluded from asserting any such
 9 theory at trial under FRCP 37(c)(1).” (*Id.* at 5.) For the same reason, any evidence or argument
 10 regarding Waymo’s untimely disclosed theory pertaining to disclosure to vendors should be
 11 barred.

12 **4. Waymo Offers No Persuasive Reason for Violating the Court’s Disclosure** 13 **Requirements for Waymo’s New Witnesses**

14 Waymo complains that Uber should have filed a *precis* to raise objections to witnesses
 15 and testimony that Waymo did not timely disclose. That is simply untrue. Uber has repeatedly
 16 and timely objected to each improper witness or subject of testimony. Uber raises them in its
 17 amended trial brief only because Waymo’s attempt to go beyond its Rule 26(a)(1) witness
 18 disclosures is so egregious and extensive that it should be addressed before trial.

19 The problem with Waymo’s position is glaring—it requires the Court to re-write
 20 Rule 26(a)(1) so that it no longer requires: (a) the disclosure of the identity of a witness as long
 21 as he is deposed or (b) the disclosure of the subject matter of the testimony of anyone working for
 22 the opposing party. There are good reasons these exceptions are not in Rule 26 and they should
 23 not be added now.

24 Waymo seeks to excuse its failure to disclose nine of *its own employees* because most of
 25 them were deposed by Uber, including Shawn Bananzadeh, whose addition is the subject of a
 26 pending motion (Dkts. 2305, 2323) and of whom the Court already ruled could not testify in
 27 Waymo’s case-in-chief (Dkt. 2323 at 1-2). The Court has already addressed and rejected this
 28 very argument the first time Waymo tried to add Mr. Bananzadeh:

1 MS. ROBERTS: Well, your Honor, I think since -- since they
 2 deposed him, their approach to preparing to cross examine him at
 3 trial would be no different than their approach to cross examining
 4 everybody else because they have his deposition.

5 THE COURT: Yeah, but under that theory that everybody who got
 6 deposed in the case then is open season. Anybody can -- can just
 7 automatically add them to their trial list, right? Everybody who was
 8 deposed.

9 MS. ROBERTS: Fair enough, your Honor.

10 (Dkt. 2124 (10/26/2017 Hr'g Tr. at 12:13-22).) The prejudice to Uber from this sandbagging is
 11 significant. The fact that Uber sought to depose a Waymo witness to gather evidence for *its case*
 12 does not excuse Waymo's failure to disclose its own intent to rely on that witness for *Waymo's*
 13 case. A deposition taken by a party to gather affirmative evidence is very different from one
 14 taken of a witness the opposing party intends to call at trial. If Waymo's position were accepted,
 15 Uber would have needed to exhaust the knowledge on every conceivably relevant topic for all the
 16 dozens of individuals it noticed for deposition, in the event Waymo should decide to affirmatively
 17 offer their testimony at trial.

18 Waymo likewise argues that its addition of eight of its *own* employees—including its
 19 CEO—is justified because Uber added two *Waymo* employees from whom documents were
 20 produced during discovery. The two are not remotely comparable. Waymo added its own
 21 witnesses; Uber added two adverse witnesses. Uber added witnesses from Waymo for which
 22 discovery revealed testimony may be needed at trial. Waymo, on the other hand, offers no
 23 justification for adding *its own employees* after the close of discovery.

24 **5. Waymo Likewise Offers No Persuasive Reason for Why Its Witnesses Should**
 25 **Be Allowed to Testify Beyond the Scope of the Testimony in the Disclosures**

26 Uber's objections to the scope of testimony on Waymo's trial witness list are valid. As
 27 noted above, many of Waymo's untimely additions are for its own Waymo witnesses. Waymo
 28 was aware of the relevant subjects for these witnesses well before the end of discovery. Waymo
 falsely claims equivalence between Uber's minor additions and clarifications to the topics for
 three witnesses (Dkt. 2538-4 at 14-15) and Waymo's massive rewriting of its vague initial
 disclosures that listed, for nearly every witness, just the subject "matters that concern the

misappropriation of trade secrets.” (Dkt. 2517 at 7-8.) Uber refers the Court to Exhibit A of Uber’s objections to Waymo’s Fourth Amended Witness list, which demonstrates the egregiousness of Waymo’s attempts to expand the subjects on which it now seeks to question witnesses. (Dkt. 2520-3.)

Moreover, Uber’s minor modifications to the scope of testimony for three witnesses were for non-Uber witnesses: two Waymo employees, including Gary Brown (who was involved in the Google forensic investigation that Waymo initially tried to shield from discovery) and a Stroz Friedberg employee for whom Uber clarified—but did not change—the scope of the anticipated testimony.

6. Waymo Is Bound to Its Disclosed Damages Computation and Should Not Be Allowed to Admit Evidence of Reasonable Royalty Until the Proper Time

Waymo is not correct that all issues relating to Waymo’s damages case (other than the two offers of proof) are settled. As Uber explained in its amended trial brief, Waymo has still failed to provide a computation of damages, as required by Rule 26. As a result, Rule 37 precludes Waymo from offering any damages computation that was not in its disclosures. In addition, with respect to theories of damages, Waymo cites the same colloquy with the Court, but fails to respond to the following point in Uber’s amended trial brief: “The fact that a judge need not sort out precise damages *computations* ahead of time does not mean that a defendant is free to venture beyond its disclosed *theories* of damages.” (Dkt. 2517 (Uber Trial Brief) at 12.) Trial is not the time for Waymo to disclose new theories of damages.

Waymo also wants to admit evidence relevant only to a reasonable royalty in the main case even though the jury will not be asked to compute a reasonable royalty. Such an approach would unduly prejudice Uber, confuse the issues, and mislead the jury. Fed. R. Evid. 403. Obviously, if evidence is relevant to liability or unjust enrichment, it can come in. But if evidence is relevant only to the issue of reasonable royalty, then it should not be admitted until a potential later phase that addresses reasonable royalty. Rather than allowing reasonable royalty evidence during the liability phase, the Court should allow unjust enrichment evidence in the reasonable royalty phase. Uber identified the types of evidence relevant only to unjust

1 enrichment in its trial brief. (Dkt. 2517 (Uber Trial Brief) at 13-14.) Admitting this limited
2 evidence during the reasonable royalty phase would take little extra time, and it would be time
3 well spent to ensure that Uber is not unduly prejudiced and the issues are not confused.

4 Finally, Uber objects to Waymo's proposal to have the jury compute reasonable royalty
5 damages even if they are able to calculate unjust enrichment. Such an approach is contrary to the
6 law that reasonable royalty damages are available only if unjust enrichment cannot be calculated,
7 and it would not be an efficient use of time. *See, e.g.*, CUTSA § 3426.3(b); Dkt. 2229 at 2 (joint
8 proposed reasonable royalty instructions). Waymo's concern about losing an unjust enrichment
9 award in post-trial briefing is not a legitimate excuse to have the Court, the parties, and the jury
10 engage in the advisory exercise of computing reasonable royalty damages.

11
12 Dated: January 29, 2018

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13
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